



Summer Internship Programme 2019 – Asset and Wealth Management Sector

Internship Position number : **1903AM01**

Company Name :	AXA Investment Managers Asia Limited					
Website Address :	www.axa-im.com.hk					
Position Title :	Compliance Officer				Job Cat	AM
Number of Positions :	1	Internship Duration:		8 Weeks		
<p>Main Duties : Discover how a Global Asset Management company works. Understand the specificities of Compliance Department, through various duties :</p> <ol style="list-style-type: none"> 1. Assist the Compliance team on tasks such as review and approval of marketing materials, personal securities transactions and gifts and entertainment. 2. Assist the Compliance team on tasks such as monitoring whether business lines continue to meet all applicable requirements of SFC rules and regulations and other applicable domestic or international financial laws and regulations. 3. Conduct of appropriate monitoring, sample testing and reviews across the business in line with the annual compliance monitoring plan. 						
<p>Requirements: <i>(Academic qualifications and/or other skills set)</i> <i>(If there are specific academic attainment requirements such as GPA, please specify clearly.)</i></p> <ol style="list-style-type: none"> 1. Fluency in English and Cantonese (Mandarin a plus but not essential) 2. Good communication skills, both oral and in writing 3. Strong sense of integrity and ethics. 4. attention to details 						
Working Location :	Quarry Bay, Hong Kong					
Working Hours :	Mon to Fri	9am	to	5.30pm	Meal Break	1 hour
	Sat	N/A	to	N/A	Meal Break	N/A
<p>Other Supplementary Information <i>(eg. Any need for student applicants to directly access the employer's recruitment portal, reporting hierarchy, career prospects etc.)</i></p>						