

主辦單位: 香港特別行政區政府財經事務及庫務

Organiser: Financial Services and the Treasury Bureau of the HKSAR Government

執行伙伴: 香港證券及投資學會

Implementation agent: Hong Kong Securities and Investment Institute

Summer Internship Programme 2018 – Asset and Wealth Management Sector

Internship Position number: 1803AM01

Company Name :	AXA Investment Managers Asia Limited									
Website Address:	www.axa-im.com.hk									
Position Title :	Co	mpliance Officer	Job Cat	A	AM					
Number of Position	s:	1	Internship Duration:		8	Weeks				

Main Duties: Discover how a Global Asset Management company works.

Understand the specificities of Compliance Department, through various duties:

- 1. Assist the Compliance team on tasks such as review and approval of marketing materials, personal securities transactions and gifts and entertainment.
- 2. Assist the Compliance team on tasks such as monitoring whether business lines continue to meet all applicable requirements of SFC rules and regulations and other applicable domestic or international financial laws and regulations.
- 3. Conduct of appropriate monitoring, sample testing and reviews across the business in line with the annual compliance monitoring plan.

Requirements: (Academic qualifications and/or other skills set)

(If there are specific academic attainment requirements such as GPA, please specify.)

- 1. Fluency in English and Cantonese (Mandarin a plus but not essential)
- 2. Good communication skills, both oral and in writing
- 3. Strong sense of integrity and ethics.
- 4. attention to details

Working Location:	Quarry Bay, Hong Kong									
Working Hours :	Mon to Fri	9am	to	5:30pm	Meal Break	1 hour				
	Sat	N/A	to	N/A	Meal Break	N/A				

Other Supplementary Information (eg. Any need for student applicants to directly access the employer's recruitment portal, reporting hierarchy, career prospects etc.)