



Summer Internship Programme 2017 – Asset and Wealth Management Sector

Internship Position number : **1719AB01**

Company Name:	Legg Mason Asset Management Hong Kong Ltd					
Website Address:	www.leggmason.com.hk					
Position Title:	Compliance Intern				Job Cat:	AB
Number of Positions:	1	Internship Duration:	8 Weeks			
Main Duties: <ol style="list-style-type: none"> 1. Assist the team in conducting regular review of business activities for adherence to regulatory requirements, internal policies and procedures such as review of gifts and entertainment and personal trading activities 2. Implement the compliance monitoring program by reviewing rules and regulations, and associated risks and controls of business activities 3. Assist in the review of advertisements and marketing materials for adherence to regulatory requirements 4. Assist in Anti-Money Laundering review process for Asia region 5. Ensure timely submission of reports as required by regulatory agencies 6. Assist in licensing applications 7. Researching regulatory news or highlights 8. Assist in any other ad-hoc regional/global projects 						
Requirements: <ol style="list-style-type: none"> 1. Preferably study in Accountancy Banking, Finance, Law or related field 2. Confident, outgoing personality 3. Good interpersonal and communication skills 4. Willingness to use initiative, ability to work independently 5. Fluent Cantonese, Mandarin and English 6. Can read and write Simplified and Traditional Chinese 						
Working Location:	Suites 1202 – 03, 12/F, York House, The Landmark, 15 Queen’s Road Central					
Working Hours:	Mon to Fri	9 am	to	6 pm	Meal Break	1 hour
	Sat		to		Meal Break	
Other Supplementary Information: Reporting to Head of Compliance, the position offers the exposure to the incumbent to understand the company’s operation as well as the compliance framework and program under relevant laws, regulations and requirements						