T To : Hong Kong Securities and Investment (HKSI) Institute

17/F, Cambridge House, Taikoo Place, 979 King's Road, Quarry Bay, Hong Kong

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| **Student Internship Programme (“SIP”)**  **Asset and Wealth Management Sector** |

**SUBSIDY CLAIM FORM**

|  |  |  |
| --- | --- | --- |
| Name of Participating Employer | (Chinese) |  |
| (English) |  |

Our company wishes to claim the subsidy from the Pilot Programme to Enhance Talent Training for the Asset and Wealth Management Sector on the monthly honorarium paid to the intern(s) recruited under “Student Internship Programme – Asset and Wealth Management Sector”. Relevant details of the intern(s) are listed on the following page(s). We confirm that the information provided in this form and all the supporting documents[[1]](#footnote-1) for the respective intern(s) are true and correct. We declare that we have not received and will not apply for any form of subsidy from any other funding schemes in respect of the honorarium paid to these intern(s).

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | |  |  | |
| Original signature of Authorised Person | | |
|  | |  |
| Name of Authorised Person  For and on behalf of the Company | | | Company Chop | |
|  | | |  | |
| Position |  |  | Date |  |
|  |  |  |  |  |
| Telephone |  |  | Email |  |

|  |  |
| --- | --- |
|  | *Note : The subsidy will be made by cheque payment in the name of the Participating Employer (“PE”) as stated above, or where necessary and appropriate, the hiring company from the same group company provided at the time of PE application, name of hiring firm (if applicable):* |
|  |  |

**SUBSIDY CLAIM FORM (cont’d)**

|  |  |
| --- | --- |
| Number of Intern(s) eligible for subsidy claim[[2]](#footnote-2) |  |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| 1. | Name of Intern |  | | |
| Internship Period  (in accordance with the employment contract) | | From | To |
| Total Honorarium Paid |  | | |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| 2. | Name of Intern |  | | |
| Internship Period  (in accordance with the employment contract) | | From | To |
| Total Honorarium Paid |  | | |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| 3. | Name of Intern |  | | |
| Internship Period  (in accordance with the employment contract) | | From | To |
| Total Honorarium Paid |  | | |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| 4. | Name of Intern |  | | |
| Internship Period  (in accordance with the employment contract) | | From | To |
| Total Honorarium Paid |  | | |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| 5. | Name of Intern |  | | |
| Internship Period  (in accordance with the employment contract) | | From | To |
| Total Honorarium Paid |  | | |

**SUBSIDY CLAIM FORM (cont’d)**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| 6. | Name of Intern |  | | |
| Internship Period  (in accordance with the employment contract) | | From | To |
| Total Honorarium Paid |  | | |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| 7. | Name of Intern |  | | |
| Internship Period  (in accordance with the employment contract) | | From | To |
| Total Honorarium Paid |  | | |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| 8. | Name of Intern |  | | |
| Internship Period  (in accordance with the employment contract) | | From | To |
| Total Honorarium Paid |  | | |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| 9. | Name of Intern |  | | |
| Internship Period  (in accordance with the employment contract) | | From | To |
| Total Honorarium Paid |  | | |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| 10. | Name of Intern |  | | |
| Internship Period  (in accordance with the employment contract) | | From | To |
| Total Honorarium Paid |  | | |

**Student Internship Programme**

**Asset and Wealth Management Sector**

**Receipt Acknowledgment of Honorarium by Intern**

I, (*name of intern*) \_\_, was employed as an intern at \_\_\_\_*(name of Participating Employer)\_\_ \_*  from \_\_\_\_(dd/mm/yyyy)\_\_\_\_\_\_\_ to \_\_\_(dd/mm/yyyy)\_\_\_\_\_\_\_\_\_.

\* Please specify the hiring company if the contractual party is not the Participating Employer:

I hereby acknowledge receipt of my honorarium with breakdown as follows:

|  |  |  |  |
| --- | --- | --- | --- |
| Period | | Honorarium[[3]](#footnote-3)  (Amount Received)  HK$ | Payment by Participating Employer or Hiring Company via |
| From | To | Cheque/Autopay/Cash |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
| Total: | |  |  |

q Please check (þ) the box if MPF Scheme has been enrolled in by the Participating Employer for internship longer than 59 calendar days.

|  |  |  |
| --- | --- | --- |
|  |  |  |
| Original signature of Intern |  | Date |

**Student Internship Programme**

**Asset and Wealth Management Sector**

**Attendance Record of Intern**

|  |  |  |
| --- | --- | --- |
| Name of Participating Employer (“PE”) |  | |
| Hiring Company (if any) |  | |
| Name of Intern & Position Title | | Name of Supervisor & Position Title |
|  | |  |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Date  (dd/mm/yy) | Weekday | Start Time | End Time | Actual Work Hours[[4]](#footnote-4) | Please þ if WFH[[5]](#footnote-5) | Remarks for the workday (if any) |
|  | Mon |  |  |  |  |  |
|  | Tue |  |  |  |  |  |
|  | Wed |  |  |  |  |  |
|  | Thu |  |  |  |  |  |
|  | Fri |  |  |  |  |  |
|  | Sat |  |  |  |  |  |
|  | Sun |  |  |  |  |  |
|  | Mon |  |  |  |  |  |
|  | Tue |  |  |  |  |  |
|  | Wed |  |  |  |  |  |
|  | Thu |  |  |  |  |  |
|  | Fri |  |  |  |  |  |
|  | Sat |  |  |  |  |  |
|  | Sun |  |  |  |  |  |
|  | Mon |  |  |  |  |  |
|  | Tue |  |  |  |  |  |
|  | Wed |  |  |  |  |  |
|  | Thu |  |  |  |  |  |
|  | Fri |  |  |  |  |  |
|  | Sat |  |  |  |  |  |
|  | Sun |  |  |  |  |  |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  |  |  |  |  |
| Signature of Supervisor with Company Chop[[6]](#footnote-6) |  | Signature of Intern6 |  | Date |

**Student Internship Programme**

**Asset and Wealth Management Sector**

**Performance Assessment on Intern**

This performance assessment should be completed by the supervisor of the intern. The supervisor must discuss it with the intern to enable the latter to benefit from constructive feedback on his/her internship performance.

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Information on Intern | | | | | | | |
| Name (as printed on HKID card) | | | e.g. Chan Tai Man | | | | |
| University or College |  | | | | | Year of Study |  |
| Position Title |  | | | | | | |
| Name of Participating Employer | | |  | | | | |
| Internship Duration | | from | |  | to |  | |

|  |  |
| --- | --- |
| Information on Supervisor | |
| Name |  |
| Position Title |  |

|  |  |  |  |
| --- | --- | --- | --- |
| Date of Assessment |  | Date of Discussion |  |
|  | |  | |
| Original signature of Supervisor | | Original signature of Intern | |

|  |
| --- |
| Comments from Intern (*if any*) |
|  |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  |  |  |  |  |
| Original signature of Authorised Person  For and on behalf of the Company |  | Company Chop |  | Date |

**Performance Assessment on Intern** (cont’d)

Please rate (þ) the intern’s performance using the scale below. Rate N/A for not applicable or not enough information to form a judgement.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **1** | **2** | **3** | **4** | **5** |
| Far below expectations | Below expectations | Met expectations | Above expectations | Far above expectations |

| **Assessment Criteria** | **1** | **2** | **3** | **4** | **5** | **N/A** |
| --- | --- | --- | --- | --- | --- | --- |
| **Work Habits/Conduct** | | | | | | |
| * Arrived punctually consistently and remained in office during working hours |  |  |  |  |  |  |
| * Informed supervisor if a specific commitment cannot be kept (e.g. absence/late for work, missed deadlines) and took the initiative to re-arrange work schedule |  |  |  |  |  |  |
| * Behaved appropriately regarding the norms about clothing, language, and manners at the workplace |  |  |  |  |  |  |
| * Upheld professional/business ethics, exhibited integrity and confidentiality attributes |  |  |  |  |  |  |
| **Work Performance** | | | | | | |
| * Undertook work assignments with enthusiasm |  |  |  |  |  |  |
| * Completed work assignments satisfactorily and timely |  |  |  |  |  |  |
| * Accepted responsibility for mistakes, made needed improvements and learnt from experiences |  |  |  |  |  |  |
| * Demonstrated proficiency in applying academic knowledge and relevant skills (e.g. computational/ technical, verbal/written communication, critical reasoning, analytical, problem solving, innovating skills etc.) to accomplish work assignments |  |  |  |  |  |  |
| * Demonstrated personal character traits (e.g. self-motivation, resourcefulness, adaptability, judgement, assertiveness, etc.) in accomplishing work assignments |  |  |  |  |  |  |
| **Workplace Interaction** | | | | | | |
| * Showed respect to supervisor and actively sought supervision when necessary |  |  |  |  |  |  |
| * Responded well to constructive criticism |  |  |  |  |  |  |
| * Worked collaboratively with team members and fellow co-workers with a positive attitude |  |  |  |  |  |  |
| **Overall Performance** |  |  |  |  |  |  |

|  |
| --- |
| **Additional Comments if any**  *(e.g. What did the intern do exceptionally well? What areas could he/she improve on?)* |

1. Supporting documents refer to

   (a) student identity document(s) and work permit for non-local student(s) (details can be referenced to the guidance notes on Verification Process);

   (b) copies of employment contract(s) of intern(s);

   (c) completed attendance record(s) of intern(s);

   (d) payment proof and/or receipt acknowledgement of the honorarium by intern(s);

   (e) completed performance assessment(s) on intern(s) (with prescribed form prepared by Hong Kong Securities and Investment Institute) [↑](#footnote-ref-1)
2. Please add extra paper if the number of student claims is more than 10. [↑](#footnote-ref-2)
3. Please include the 5% employee’s contribution to the MPF Scheme, if any, to show the full amount of honorarium paid to the intern. [↑](#footnote-ref-3)
4. Meal break (paid or unpaid) are excluded from actual work hours. [↑](#footnote-ref-4)
5. Work-from-home (WFH) arrangement is acceptable at ONE day per week at maximum. [↑](#footnote-ref-5)
6. Supervisor and intern should ink-sign on every page of the attendance record. [↑](#footnote-ref-6)